

# Privacy Policy Notice

AmericaFirst Capital Management and our affiliated companies are committed to maintaining the trust and confidence of our customers. AmericaFirst Capital Management collects information that helps serve your financial needs, fulfill legal and regulatory requirements, and offer new products or services to meet your specific needs. The following Privacy Policy Notice describes what ‘nonpublic personal information’ we collect, what we do with it, and most importantly, how we protect it.

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## **Information Collected**

Information is gathered from a number of sources that may include the following:

- Information provided by you on account applications and other standard forms. This information includes, but is not limited to, your name, address, telephone number, Social Security Number, date of birth, annual income, employment status, and citizenship.
  - Information about your AmericaFirst Capital Management transactions, including information obtained through those companies that work closely with us to provide you with diverse financial products and services. This information may include account balances, trading activity, loan amount, and payment history.
  - Medical information, if relevant to your product or service, may also be obtained through third-party reports.
  - Information we receive from consumer reporting agencies.
  - Information obtained when verifying the information you provide on applications or other forms (such as from current and past employers or other institutions where you conduct financial transactions).
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## **Parties to Whom We May Disclose Information**

We do not share customer information with outside companies for the purpose of selling their products and services to you.

Any information that we, or our affiliates, obtain from you is used only to help you acquire the investment, advisory, or insurance products that you desire from the companies you choose through our representatives. The personal nonpublic information obtained in this process will only be provided to nonaffiliated companies who have custody of your assets, prepare statements and transaction confirmations for your account, or provide credit reporting information.

In certain instances, AmericaFirst Capital Management will disclose nonpublic personal information when permitted or required by law. Parties that may receive this information include government/regulatory organizations such as the Federal Bureau of Investigation (FBI), Internal Revenue Service (IRS), and the Financial Industry Regulatory Authority (FINRA) and to other parties under court order or subpoena. We are also permitted to make disclosures to third parties per your request, direction, or authorization.

# **Privacy Policy Notice**

Medical/Non-Medical information may be provided to insurance companies and agencies in order to underwrite or shop policies for reduced policy costs. This information is only released with your permission.

We transfer information about you if AmericaFirst Capital Management is acquired by or merged with another company. In this event, AmericaFirst Capital Management will notify you before information about you is transferred and becomes subject to a different privacy policy.

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## **Opting Out of Third-Party Disclosures**

If you do not want us to share your information (other than as prescribed by law) with any nonaffiliated third parties, including the registered representative servicing your account when he or she leaves us to join another firm, you may contact the Compliance Department in writing at: **AmericaFirst Capital Management, 8150 Sierra College Blvd., Suite 290, Roseville, CA 95661.**

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## **Confidentiality & Security**

We maintain internal standards and procedures to protect your information and prevent its dissemination to unaffiliated individuals and entities. We restrict access to nonpublic personal information about you to those employees, registered representatives or agents, and other parties who must use that information to provide products and services to you. We have physical, electronic, and procedural safeguards in compliance with applicable laws and regulations to guard your nonpublic personal information.

This Privacy Policy Notice applies to consumers who are customers of AmericaFirst Capital Management and our affiliates.

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## **Changes to This Privacy Policy Notice**

AmericaFirst Capital Management may update this policy. We will notify you about significant changes in the way we treat personal information by sending a notice to the primary mailing address on file for your account(s) or by placing a prominent notice on our website.

We reserve the right to send you certain communications relating to your AmericaFirst Capital Management service, such as service announcements, administrative messages and Newsletters, that are considered part of your AmericaFirst Capital Management account(s), without offering you the opportunity to opt-out of receiving them.

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## **Contact Information**

If you have any questions after reading this Privacy Policy Notice, please contact us at:

**AmericaFirst Capital Management, LLC**  
**8150 Sierra College Blvd., Suite 290**  
**Roseville, CA 95661**  
**916.787.9940**